

1742

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**

(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.

3047-7434 (53047/7434)

In Re Application Of:

Rosen et al.

Serial No.

09/973,624

Filing Date

October 9, 2001

Examiner

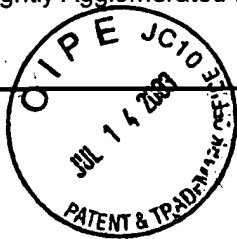
Mai, Ngoclan Thi

Group Art Unit

6243

Title:

Tightly Agglomerated Non-Oxide Particles And Method For Producing The Same



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Address to:  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☒ the statement specified in 37 CFR 1.97(e);

OR

☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

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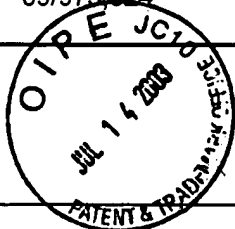
October 9, 2001

Examiner

Mai, Ngoclan Thi

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6243



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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. 20-0823 as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
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Benjamin L. Volk, Jr.

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Benjamin L. Volk, Jr., Reg. No. 48,017  
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Dated: July 9, 2003



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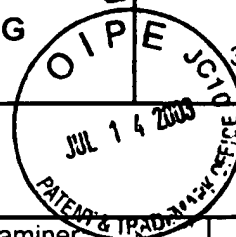
STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT

Docket No.

3047-7434 (53047/7434)

In Re Application Of:

Rosen et al.



Serial No.

09/973,624

Filing Date

October 9, 2001

Examiner

Mai, Ngoclan Thi

Group Art Unit

6243

Invention:

Tightly Agglomerated Non-Oxide Particles And Method For Producing The Same

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement here below:

Statement Under 37 CFR 1.97(e)(1)

- ☒ Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Statement Under 37 CFR 1.97(e)(2)

- ☐ No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Dated: July 9, 2003

Benjamin L. Volk, Jr., Reg. No. 48,017  
Thompson Coburn LLP  
One US Bank Plaza  
St. Louis, Missouri 63101-9928  
314-552-6000  
314-552-7000 FAX



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Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>		
				Application Number	09/973,624	
				Filing Date	October 9, 2001	
				First Named Inventor	Rosen et al.	
				Art Unit	6243	
Examiner Name	Mai, Ngoclan Thi					
Attorney Docket Number	3047-7434 (53047/7434)					
Sheet	1	of	1			

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	US- 3,544,359	12-01-1970	Oxley	
	AB	US- 4,040,815	08-09-1977	Francois et al.	
	AC	US- 6,238,456	05-29-2001	Wolf et al.	
		US-			
		US-			

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. <sup>1</sup>	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup>	-Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
						T <sup>6</sup>

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS			
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	AD	PATENT COOPERATION TREATY; International Search Report; July 2, 2003	
	AE	CARPENTER et al.; <i>Effects of Shell Thickness on Blocking Temperature of Nanocomposites of Metal Particles with Gold Shells</i> ; IEEE Transactions on Magnetics; September 1999; pp. 3496-3498; Vol. 35, No. 5; IEEE Inc.; New York, USA	
	AF	DATABASE INSPEC; <i>A Flame Process for Synthesis of Unagglomerated, Low-Oxygen Nonoparticles</i> ; Institute of Electrical Engineers; December 1997; Vol. 288, No. 6	

Examiner Signature		Date Considered	
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\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Entry Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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